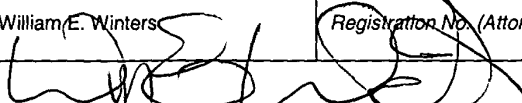


| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. IMPJ-0058 (033327-000055) | |
|---|--|---|------------------------------------|
| | | First Inventor | Christopher J. Diorio |
| | | Title | Secure Two-Way RFID Communications |
| (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) | | Express Mail Label No. | EV310852125US |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | ADDRESS TO: Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria VA 22313-1450 | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>45</u>] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>19</u>]</p> <p>5. Oath or Declaration [Total Sheets <u>3</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>ACCOMPANYING APPLICATIONS PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p> | |
| <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: ____ / Prior application information: Examiner ____ Art Unit: ____</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p> | | | |
| 19. CORRESPONDENCE ADDRESS | | | |
| <input type="checkbox"/> Customer Number or Bar Code Label | | <input type="checkbox"/> Correspondence address below | |
| (Insert Customer No. or Attach bar code label here) | | | |
| Name | David B. Ritchie - Thelen Reid & Priest LLP | | |
| Address | P. O. Box 640640 | | |
| City | San Jose | State | CA |
| Country | US | Telephone | 408-393-5800 |
| | | Fax | 408-287-8040 |
| Name (Print/Type) | William E. Winters | Registration No. (Attorney/Agent) | 42,232 |
| Signature |  | | Date |
| | | | SEPT. 10, 2003 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

2386 U.S. PTO
10/660829
09/11/03

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1333

Complete if Known

Application Number [not yet assigned]
Filing Date September 11, 2003
First Named Inventor Christopher J. Diorio
Examiner Name [not yet assigned]
Group / Art Unit [not yet assigned]
Attorney Docket No. IMPJ-0058 (033327-000055)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit Account Number 50-1698

Deposit Account Name Thelen Reid & Priest LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|--------------|----------|----------|------------------------|----------|
| | 1001 | 750 | 2001 | 375 | | Utility filing fee | 375 |
| | 1002 | 330 | 2002 | 165 | | Design filing fee | |
| | 1003 | 520 | 2003 | 260 | | Plant filing fee | |
| | 1004 | 750 | 2004 | 375 | | Reissue filing fee | |
| | 1005 | 160 | 2005 | 80 | | Provisional filing fee | |
| SUBTOTAL (1) | | | | | | | (\$ 375) |

2. EXTRA CLAIM FEES

| | | | | | | | | | | | |
|--------------------|----|--------|---|----|--------------|---|----|----------------|---|-----|----------|
| Total Claims | 80 | -20 ** | = | 60 | Extra Claims | X | 9 | Fee from below | = | 540 | Fee Paid |
| Independent Claims | 12 | -3 ** | = | 9 | | X | 42 | | = | 378 | |
| Multiple Dependent | | | | | | X | | | = | 0 | |

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|--------------|----------|----------|--|----------|
| | 1202 | 18 | 2202 | 9 | | Claims in excess of 20 | |
| | 1201 | 84 | 2201 | 42 | | Independent claims in excess of 3 | |
| | 1203 | 280 | 2203 | 140 | | Multiple dependent claim, if not paid | |
| | 1204 | 84 | 2204 | 42 | | ** Reissue independent claims over original patent | |
| | 1205 | 18 | 2205 | 9 | | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$ 918)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|--------------|----------|----------|--|----------|
| | 1051 | 130 | 2051 | 65 | | Surcharge - late filing fee or oath | |
| | 1052 | 50 | 2052 | 25 | | Surcharge - late provisional filing fee or cover sheet. | |
| | 1053 | 130 | 1053 | 130 | | Non-English specification | |
| | 1812 | 2,520 | 1812 | 2,520 | | For filing a request for reexamination | |
| | 1804 | 920* | 1804 | 920* | | Requesting publication of SIR prior to Examiner action | |
| | 1805 | 1,840* | 1805 | 1,840* | | Requesting publication of SIR after Examiner action | |
| | 1251 | 110 | 2251 | 55 | | Extension for reply within first month | |
| | 1252 | 410 | 2252 | 205 | | Extension for reply within second month | |
| | 1253 | 930 | 2253 | 465 | | Extension for reply within third month | |
| | 1254 | 1,450 | 2254 | 725 | | Extension for reply within fourth month | |
| | 1255 | 1,970 | 2255 | 985 | | Extension for reply within fifth month | |
| | 1401 | 320 | 2401 | 160 | | Notice of Appeal | |
| | 1402 | 320 | 2402 | 160 | | Filing a brief in support of an appeal | |
| | 1403 | 280 | 2403 | 140 | | Request for oral hearing | |
| | 1451 | 1,510 | 1451 | 1,510 | | Petition to institute a public use proceeding | |
| | 1452 | 110 | 2452 | 55 | | Petition to revive - unavoidable | |
| | 1453 | 1,300 | 2453 | 650 | | Petition to revive - unintentional | |
| | 1501 | 1,300 | 2501 | 650 | | Utility issue fee (or reissue) | |
| | 1502 | 470 | 2502 | 235 | | Design issue fee | |
| | 1503 | 630 | 2503 | 315 | | Plant issue fee | |
| | 1460 | 130 | 1460 | 130 | | Petitions to the Commissioner | |
| | 1807 | 50 | 1807 | 50 | | Processing fee under 37 CFR 1.17 (q) | |
| | 1806 | 180 | 1806 | 180 | | Submission of Information Disclosure Stmt | |
| | 8021 | 40 | 8021 | 40 | | Recording each patent assignment per property (times number of properties) | 40 |
| | 1809 | 750 | 2809 | 375 | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | 1810 | 750 | 2810 | 375 | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | 1801 | 750 | 2801 | 375 | | Request for Continued Examination (RCE) | |
| | 1802 | 900 | 1802 | 900 | | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) William E. Winters Registration No. 42,232 Telephone 408-292-5800
Signature [Signature] Date SEPT. 10, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

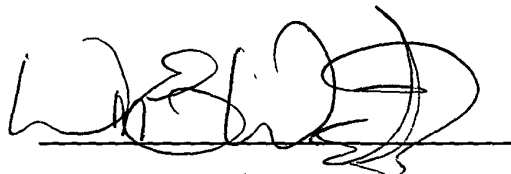
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| | | |
|---|----------------------|------------------------------------|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Christopher J. Diorio |
| | Title | Secure Two-Way RFID Communications |
| | Atty Docket Number | IMPJ-0058 (033327-000055) |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

SEPT. 10, 2003

Date



Signature

408-292-5800

Telephone number

William E. Winters

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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